

AUG. 10. 2006 2:48PM HITT GAINES 9724808865

NO. 5934 P. 3

RECEIVED
CENTRAL FAX CENTER

ATTORNEY DOCKET NO.: BAO 39

AUG 10 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhenan Bao

Serial No.: 10/727,709

Filed: December 4, 2003

Title: ORGANIC FIELD EFFECT TRANSISTORS WITH ACTIVE CHANNELS
FORMED OF DENSIFIED LAYERS

Group Art Unit: 2814

Examiner: Wai Sing Louie

Mail Stop 16
Director of the US Patent and
Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being furnished unattached to the Patent and Trademark
Office (Fax No. (703) 273-4300) on March 2, 2006

Marty Bolko
(Printed or typed name of person signing the certificate)
Marty Bolko
(Signature of the person signing the certificate)

Sir:

REQUEST FOR REFUND

On December 16, 2005, Appellants filed a Pre-Appeal Brief Request for Review. On January 31, 2006, the USPTO mailed the Notice of Panel Decision from Pre-Appeal Brief Review (copy attached) which stated that the time period for filing an appeal brief will be reset to one month of mailing this decision, or the balance of the two-month time period running from the receipt of the notice of appeal, whichever is greater. On February 27, 2006, Appellants filed an Appeal Brief in response to the final rejected dated November 29, 2005. The USPTO deducted \$120 for an extension fee (copy of deposit account statement attached), however, an extension was not due since the Appeal Brief was filed prior to one month of the January 31, 2006 mailing date of the Notice of the Panel Decision from Pre-Appeal Brief Review.

Adjustment date: 08/22/2006 ZJUHAR1
03/01/2006 TYOUNG 00000005 082355
120.00 CR
01 FC:1251

We therefore request that the \$120.00 which was withdrawn from the deposit account 082395
on March 1, 2006, be refunded.

Respectfully submitted,

HITT GAINES, P.C.

Ronald J. Corbett
Ronald J. Corbett
Reg. No. 47,500

Date: March 3, 2006
Hitt-Gaines, P.C.
P.O. Box 832570
Richardson, Texas 75083-2570
(972) 480-8800

Best Available Copy

AUG 10 2006 2:47PM HITT GAINES 9724808865

NO. 5934 P. 1

RECEIVED
CENTRAL FAX CENTER
PATENT DIVISION

AUG 10 2006

2006 AUG 14 PM 3:56

US PATENT & TRADEMARK
OFFICE

HITT GAINES, P.C.
Intellectual Property Law & Related Matters

FACSIMILE TRANSMISSION

TO: USPTO
Examiner Wai Sing Louie; Art Unit 2814

FAX NO. (571) 273-8300

FROM: Ronald J. Corbett

RE: Serial No.: 10/727,709
Attorney Docket No.: BAO 39
LUCT-125888

DATE: August 3, 2006

PAGES: 7 (including cover page)

If you do not receive the indicated number of pages, please notify the sender at the telephone number shown below. Thank you.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS AN ATTORNEY-CLIENT PRIVILEGED, CONFIDENTIAL COMMUNICATION INTENDED FOR THE EXCLUSIVE USE OF THE INTENDED RECIPIENT NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, OR ARE AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS TRANSMISSION TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS TRANSMISSION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE NOTIFY THE SENDER IMMEDIATELY AT THE TELEPHONE NUMBER SHOWN BELOW AND MAIL THE ORIGINAL TRANSMISSION TO THE ADDRESS BELOW. WE WILL REIMBURSE YOU FOR ANY REASONABLE EXPENSE YOU MAY INCUR DOING SO. THANK YOU.

MESSAGE:

Please send fax confirmation to: 972-480-8865

Documents being transmitted herewith:

facsimile transmittal (pp.1);
USPTO fax transmission confirmation dated March 3, 2006 (pp.1);
copy of Request for Refund dated March 3, 2006 (pp.2);
copy of Notice of Panel Decision from Pre-Appeal Brief Review (pp.2); and
copy of March 2006 deposit account statement (pp.1).

Mailing Address: P.O. Box 832570, Richardson, Texas 75083-2570
Street Address: Palisades Central II, 2435 North Central Expressway, Suite 1300, Richardson, Texas 75080-2753 U.S.A.
Tel: (972) 480-8800 Fax: (972) 480-8865 firm@hittgaines.com

Auto-Reply Facsimile Transmission



RECEIVED
CENTRAL FAX CENTER

AUG 10 2006

TO: Fax Sender at 972 480 8865

Fax Information
Date Received:
Total Pages:

3/3/2006 10:17:49 AM [Eastern Standard Time]
6 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page
=====>

VAR 3 2006 5:54AM HITT GAINES 9724808865 10.495
<p style="text-align: center;">HITT GAINES, P.C. <small>Intellectual Property Law & Related Matters</small></p> <p style="text-align: center;">FACSIMILE TRANSMISSION</p> <p>TO: USPTO Examiner Wei Sing Louis; Art Unit 2814</p> <p>FAX NO. (571) 277-6300</p> <p>FROM: Ronald I. Corbin</p> <p>RE: Serial No.: 10/727,709 Attorney Docket No.: BAO 39 LUCT-125888</p> <p>DATE: March 3, 2006</p> <p>PAGES: 6 (including cover page)</p> <p>If you do not receive the indicated number of pages, please notify the sender at the telephone number shown below. Thank you.</p> <p>The information contained in this facsimile transmission is an attorney-client privileged, confidential communication intended for the exclusive use of the intended recipient named above. If you are not the intended recipient, and if an unauthorized person has obtained a copy of this message, please return it to the intended recipient immediately. It is your responsibility to ensure that transmission errors, which may occur due to the nature of the electronic medium, are corrected before delivery and that delivery confirmation is obtained. We will reimburse you for any reasonable expenses you may incur during the process. Thank you.</p> <p>MESSAGE:</p> <p>Please send fax confirmation to: 972-480-8865</p> <p>Documents being transmitted herewith:</p> <p>facsimile transmittal (pp.1); Request for Rehearing (pp.2); Notice of Panel Decision (pp.2); and Deposit Account Statement (pp.1)</p> <p><small>Shipping Address: P.O. Box 281777, Dallas, Texas 75228-2770 Billing Address: P.O. Box 281777, Dallas, Texas 75228-2770 U.S.A. Tele: (972) 348-8860 Fax: (972) 348-8872 Email: Fax@MajidLaw.com</small></p>

PAGE 10 2006 AT 3/3/2006 10:17:49 AM [Eastern Standard Time] SVR:USPTO-EFXRF-6/36 * DMS:2738300 * CSID:972 480 8865 * DURATION (mm:ss):01:28

Best Available Copy

AUG. 10. 2006 2:48PM HITT GAINES 9724808865

NO. 5934 P. 5
Luci-125555
RECD FEB 06 2006
RJC



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/277,709	12/04/2003	Zhenan Bao	BAO 39	6929
47394	7590	01/31/2006		
HITT GAINES, PC		EXAMINER		
LUCENT TECHNOLOGIES INC.		LOUIE, WAI SING		
PO BOX 832570		ART UNIT		PAPER NUMBER
RICHARDSON, TX 75083		2814		

DATE MAILED: 01/31/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKET

2/28/06 Appeal Brief 2 mo
3/31/06 Appeal Brief 3 mo
4/30/06 Appeal Brief 4 mo
5/31/06 Appeal Brief 5 mo
6/30/06 Appeal Brief 6 mo
7/31/06 Appeal Brief deadline

Best Available Copy

Application Number	Application/Control No.	Appl Reexamination	()/Patent under Review
	10727,709	BAO, ZHENAN	
Phat Cao	Art Unit 2814		
Document Code - AP.PRE.DEC			

Notice of Panel Decision from Pre-Appeal Brief Review



This is in response to the Pre-Appeal Brief Request for Review filed 12/18/05.

1. **Improper Request** – The Request is improper and a conference will not be held for the following reason(s):

- The Notice of Appeal has not been filed concurrent with the Pre-Appeal Brief Request.
- The request does not include reasons why a review is appropriate.
- A proposed amendment is included with the Pre-Appeal Brief request.
- Other:

The time period for filing a response continues to run from the receipt date of the Notice of Appeal or from the mail date of the last Office communication, if no Notice of Appeal has been received.

2. **Proceed to Board of Patent Appeals and Interferences** – A Pre-Appeal Brief conference has been held. The application remains under appeal because there is at least one actual issue for appeal. Applicant is required to submit an appeal brief in accordance with 37 CFR 41.37. The time period for filing an appeal brief will be reset to be one month from mailing this decision, or the balance of the two-month time period running from the receipt of the notice of appeal, whichever is greater. Further, the time period for filing of the appeal brief is extendible under 37 CFR 1.136 based upon the mail date of this decision or the receipt date of the notice of appeal, as applicable.

The panel has determined the status of the claim(s) is as follows:

Claim(s) allowed: _____

Claim(s) objected to: _____

Claim(s) rejected: 1-11

Claim(s) withdrawn from consideration: _____

3. **Allowable application** – A conference has been held. The rejection is withdrawn and a Notice of Allowance will be mailed. Prosecution on the merits remains closed. No further action is required by applicant at this time.

4. **Reopen Prosecution** – A conference has been held. The rejection is withdrawn and a new Office action will be mailed. No further action is required by applicant at this time.

All participants:

(1) Phat Cao.

(3) Tom Thomas.

(2) Wael Fahmy. *W.F.*

(4) _____

Part of Paper No. 013106

PAGE 67 * RCVD AT 8/10/2006 3:46:19 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/36 * DNI:2738300 * CSID:9724808865 * DURATION (mm:ss):01:28

Best Available Copy

AUG. 10. 2006, 2:48PM

HITT GAINES 9724808865

Depositor Statement

NO. 5934 P. 7

Page 1 of 1



United States
Patent and
Trademark Office

[Return To:](#)
[USPTO Home Page](#)
[Finance Online Shopping Page](#)

Deposit Account Statement

Requested Statement Month: March 2006
Deposit Account Number: 082395
Name: HITT GAINES, PC.
Attention:
Address: PO BOX 832570
City: RICHARDSON
State: TX
Zip: 75083
Country: UNITED STATES OF AMERICA

DATE SEQ	REF	POSTING ATTORNEY TXT	DOCKET NBR	FEE CODE	AMT	BAL
03/01 4	10727709	BAO-39	1402		\$500.00	\$6,494.00
03/01 5	10727709	BAO-39	1251		\$120.00	\$5,374.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$5,994.00	\$620.00	\$0.00	\$5,374.00

Need Help? | [USPTO Home Page](#) | [Finance Online Shopping Page](#)

Post Available Copy

AUG 10 2006 2:47PM HITT GAINES 9724808865

NO. 5934 P. 1

RECEIVED
CENTRAL FAX CENTER
DIVISION

AUG 10 2006

2006 AUG 14 PM 3:56

US PATENT & TRADEMARK
OFFICE

HITT GAINES, P.C.
Intellectual Property Law & Related Matters

FACSIMILE TRANSMISSION

TO: USPTO
Examiner Wai Sing Louie; Art Unit 2814

FAX NO. (571) 273-8300

FROM: Ronald J. Corbett

RE: Serial No.: 10/727,709
Attorney Docket No.: BAO 39
LUCT-125888

DATE: August 3, 2006

PAGES: 7 (including cover page)

If you do not receive the indicated number of pages, please notify the sender at the telephone number shown below. Thank you.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS AN ATTORNEY-CLIENT PRIVILEGED, CONFIDENTIAL COMMUNICATION INTENDED FOR THE EXCLUSIVE USE OF THE INTENDED RECIPIENT NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, OR ARE AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS TRANSMISSION TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS TRANSMISSION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE NOTIFY THE SENDER IMMEDIATELY AT THE TELEPHONE NUMBER SHOWN BELOW AND MAIL THE ORIGINAL TRANSMISSION TO THE ADDRESS BELOW. WE WILL REIMBURSE YOU FOR ANY REASONABLE EXPENSE YOU MAY INCUR DOING SO. THANK YOU.

MESSAGE:

Please send fax confirmation to: 972-480-8865

Documents being transmitted herewith:

facsimile transmittal (pp.1);
USPTO fax transmission confirmation dated March 3, 2006 (pp.1);
copy of Request for Refund dated March 3, 2006 (pp.2);
copy of Notice of Panel Decision from Pre-Appeal Brief Review (pp.2); and
copy of March 2006 deposit account statement (pp.1).

Mailing Address: P.O. Box 832570, Richardson, Texas 75083-2570
Street Address: Palisades Central II, 2435 North Central Expressway, Suite 1300, Richardson, Texas 75080-2753 U.S.A.
Tel: (972) 480-8800 Fax: (972) 480-8865 firm@hittgaines.com